FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP |
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| OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response.      | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     CATLIN AVERY W                |   |  |  |                            |  | 2. Issuer Name and Ticker or Trading Symbol Celldex Therapeutics, Inc. [ CLDX ] |   |        |  |                     |                         |                 |   | eck all appli                                       | cable)   | ig Pers        | p Person(s) to Issuer  10% Owner Other (speci                            |                                       |  |
|---|---|--|--|----------------------------|--|---|---|--------|--|---------------------|-------------------------|-----------------|---|---|--|----------------|--|---------------------------------------|--|
| (Last) (First) (Middle) C/O CELLDEX THERAPEUTICS, INC. 53 FRONTAGE ROAD |   |  |  |                            |  | 3. Date of Earliest Transaction (Month/Day/Year) 09/02/2016                     |   |        |  |                     |                         |                 |   | Sr. VP & CFO  |  |                |  |                                       |  |
| (Street) HAMPT (City)   |   |  | 08827<br>(Zip)   |                            | _   4.                                 | 4. If Amendment, Date of Original Filed (Month/Day/Year)                        |   |        |  |                     |                         | Line            | Individual or Joint/Group Filing (Check Applicable ne)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |   |  |                |  |                                       |  |
|   |   | Tak  | le I - Noi   | n-Deri                     | vativ                                  | e Se  | curit   | ies Ac | quired   | , Dis               | posed o                 | of, or Be       | neficial  | y Owned   |  |                |  |                                       |  |
| 1. Title of Security (Instr. 3)  2. Transa Date (Month/D                |   |  |  | 2A. Deemed Execution Date, |  | Code  | 4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5) |        |  | Benefici<br>Owned F | es<br>ally<br>Following | Form<br>(D) o   | : Direct<br>r Indirect<br>str. 4)   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |                |  |                                       |  |
|   |   |  |  |                            |  |   | Code  | v      | Amount   | (A) o<br>(D)        | r<br>Price              | Transact        | Reported<br>Transaction(s)<br>(Instr. 3 and 4)  |   |  | (Instr. 4)     |  |                                       |  |
| Common Stock, par value \$0.001 per share 09/02.                        |   |  |  | 02/201                     | /2016                                  |   | М   |        | 10,00  | 10,000 A            |                         | 83,586          |   |   | D  |                |  |                                       |  |
|   |   |  | Table II -   |                            |  |   |   |        |  |                     | osed of,<br>converti    |                 |   | Owned   |  |                |  |                                       |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                     | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year |                            | 4.<br>Transaction<br>Code (Instr<br>8) |   |   |        | 6. Date Exercisable<br>Expiration Date<br>(Month/Day/Year) |                     | 9                       | of Securities   |   | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Numbe<br>derivative<br>Securities<br>Beneficia<br>Owned<br>Following<br>Reported<br>Transacti<br>(Instr. 4) | e<br>s<br>Illy | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |  |                            | Code                                   | v   | (A)   | (D)    | Date<br>Exercisa   |                     | Expiration<br>Date      | Title           | Amount<br>or<br>Number<br>of<br>Shares  |   |  |                |  |                                       |  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)                        | \$2.8   | 09/02/2016                                 |  |                            | M                                      |   |   | 10,000 | (1)  |                     | 08/05/2021              | Common<br>Stock | 10,000  | \$0   | 25,00  | 0              | D  |                                       |  |

## **Explanation of Responses:**

1. As of August 5, 2015, the option is fully vested.

/s/ Anthony S. Marucci, attorney in fact for Avery W.

09/07/2016

Catlin

\*\* Signature of Reporting Person Date

 $Reminder: Report \ on \ a \ separate \ line \ for \ each \ class \ of \ securities \ beneficially \ owned \ directly \ or \ indirectly.$ 

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.