SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-02										
Estimated average burden										
hours per response:	0.5									

Instruction 1(b).		Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934					
			or Section 30(h) of the Investment Company Act of 1940					
1. Name and Addre Marucci Ant	ess of Reporting Pers	son*	2. Issuer Name and Ticker or Trading Symbol Celldex Therapeutics, Inc. [CLDX]	(Check	all applicat	,		
<u>inter de cer i me</u>	<u></u>			X	Director		10% Owner	
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X	Officer (gi below)	ive title	Other (specify below)	
C/O CELLDEX	THERAPEUTIC	CS, INC.	06/09/2020		Pi	President & CEO		
53 FRONTAGE	E ROAD							
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joir	nt/Group Filing	(Check Applicable	
HAMPTON	NJ	08827		X	Form filed	l by One Repo	rting Person	
					Form fileo Person	d by More than	One Reporting	
(City)	(State)	(Zip)			1 613011			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction	2A. Deemed Execution Date.	3. Transaction		4. Securities			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
	(Month/Day/Year)	if any	Code (8)		5)			Beneficially Owned Following Reported		Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock, par value \$0.001 per share	06/09/2020		Р		15,000	A	\$3.06	19,438 ⁽¹⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cais, warants, options, convertible securities)															
Deri Sec	itle of ivative curity str. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispo of (D (Insti	Derivative (Month/Day/Year) Securities Acquired A) or Disposed		Expiration Date Amount of			8. Price of Derivative Security (Instr. 5)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Includes 1,103 shares of Common Stock acquired under the Company's 2004 Employee Stock Purchase Plan.

/s/ Samuel B. Martin, attorney in fact for Arthous S. Marray 06/10/2020

in fact for Anthony S. Marucci

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.